

and Innovati<u>on</u>

Horizon Europe

THE NEXT EU RESEARCH & INNOVATION INVESTMENT PROGRAMME (2021 – 2027)

#HorizonEU

Based on the Commission Proposal for Horizon Europe, the common understanding between co-legislators and the Partial General Approach, both approved in April 2019



Our vision

A sustainable, fair and **prosperous** future for **people** and **planet** based on European values.

- Tackling climate change (35 % budgetary target)
- Helping to achieve Sustainable
 Development Goals
- Boosting the Union's competitiveness and growth



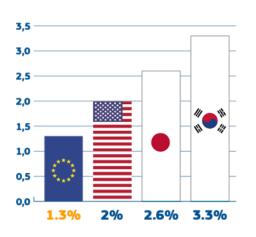


While benefiting from world-class research and strong industries...

Our knowledge and skills are our main resources.

- → 7% of the world's population
- → 20% of global R&D
- → 1/3 of all high-quality scientific publications

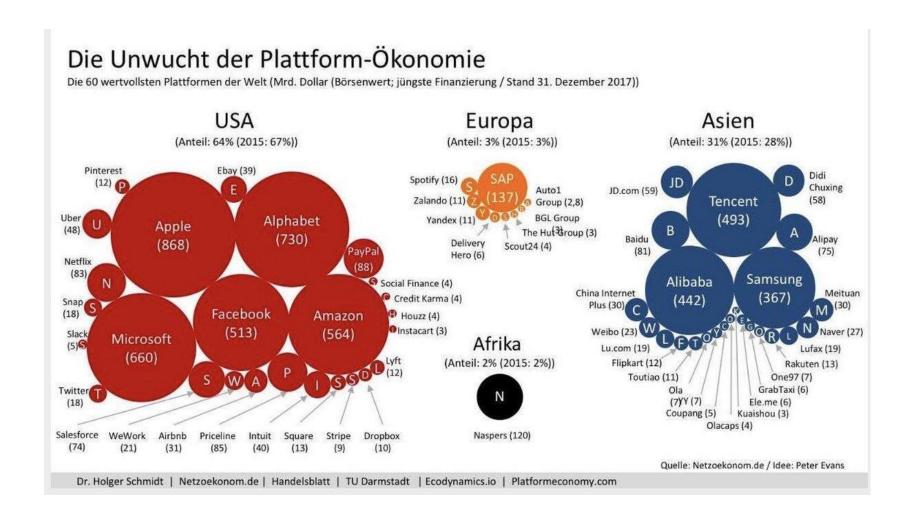




1.3%
EU business
R&D
investment

...Europe can do better at transforming this into leadership in innovation and entrepreneurship





Sibiu recommendations: Europe can shape its future through research and innovation

- Focusing research and innovation on the ecological, social and economic transitions and related societal challenges
- Leveraging Europe's scientific strengths into leadership in breakthrough and disruptive innovation
- Setting ambitious goals for issues that affect us daily, such as skills development, the fight against cancer, harmful emissions, and the state of the oceans, including plastics
- Focusing on cutting-edge research and innovation projects spanning from research and innovation to deployment



Horizon Europe

The ambitious EU research and innovation framework programme (2021-2027)



to strengthen the EU's scientific and technological bases and the European Research Area (ERA)



to boost Europe's innovation capacity, competitiveness and jobs

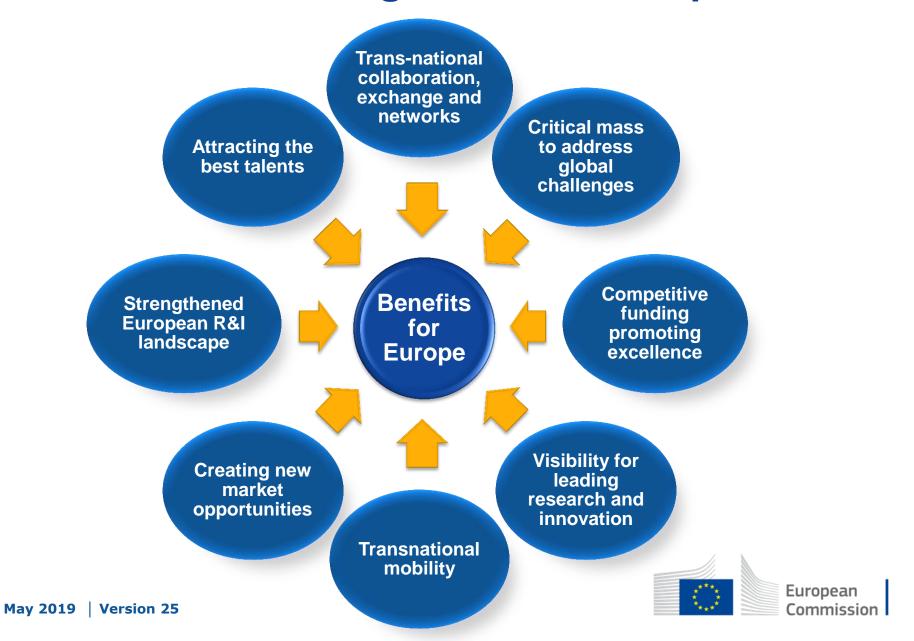


to deliver on citizens' priorities and sustain our socioeconomic model and values

The Commission proposes a budget of € 100 billion for Horizon Europe.



Added value through Horizon Europe:



Horizon Europe – Political agreement



European Parliament and Council reached a common understanding on Horizon Europe on 19 March 2019

- Budget, synergies and third country association still pending, depending on the overall MFF negotiations
- Commission has started preparations for the implementation of Horizon Europe





Horizon Europe: Preliminary structure







Widening Participation and Strengthening the European Research Area

Joint Research Centre

Widening participation and spreading excellence

Reforming and Enhancing the European R&I system



Pillar 3

European Innovation Council

Innovative Europe

Commission proposal for budget: €100 billion* (2021-2027)



European Commission

* This envelope includes EUR 3.5 billion allocated under the InvestEU Fund.

Horizon Europe – Central elements

European Commission

Lessons Learned

from Horizon 2020 Interim Evaluation

Key Novelties in Horizon Europe

European Innovation Council

R&I Missions



Support breakthrough innovation





Create more impact through mission-orientation and citizens' involvement







Strengthen international cooperation





Reinforce openness





Rationalise the funding landscape





Encourage participation



Extended association possibilities

Open science policy

New approach to Partnerships

Spreading Excellence





European Innovation Council

Support to innovations with breakthrough and disruptive nature and scale up potential that are too risky for private investors (70% of the budget earmarked for SMEs)

EuropeanInnovation Councila one-stop-shop

Helping innovators create markets of the future, leverage private finance, scale up their companies, Innovation centric, risk taking & agile, proactive management and follow up

Two complementary instruments bridging the gap from idea to investable project

Pathfinder: grants
(from early technology to pre- commercial)

Accelerator: grants only & blended finance (from pre-commercial

(from pre-commercial to market & scale-up)





R&I Missions

Relating EU's research and innovation better to society and citizens' needs; with strong visibility and impact

A mission is a portfolio of actions across disciplines intended to achieve a **bold and inspirational and measurable goal** within a set timeframe, with **impact** for society and policy making as well as relevance for a significant part of the European population and wide range of European citizens.

Horizon Europe defines mission characteristics and elements of governance, and 5 missions areas.

Specific missions will be programmed within the Global Challenges and European Industrial Competitiveness pillar (drawing on inputs from other pillars)



Adaptation to climate change, including societal transformation



Healthy oceans, seas, coastal and inland waters



Mission areas



Cancer

Climate-neutral and smart cities





Soil health and food





New approach to European Partnerships

New generation of objective-driven and more ambitious partnerships in support of agreed EU policy objectives

Key features

- Simple architecture and toolbox
- Coherent life-cycle approach
- Strategic orientation

Co-programmed

Based on Memoranda of Understanding / contractual arrangements; implemented independently by the partners and by Horizon Europe

Co-funded

Based on a joint programme agreed and implemented by partners; commitment of partners for financial and in-kind contributions

Institutionalised

Based on long-term dimension and need for high integration; partnerships based on Articles 185 / 187 of TFEU and the EIT-Regulation supported by Horizon Europe









International Cooperation

Tackling together global societal challenges; access to the world's best talents, expertise and resources; enhanced supply and demand of innovative solutions

Extended openness to association

- Third countries with good capacity in science, technology and innovation
- Taking into account objective of driving economic growth in Europe through innovation
- General opening for international participation
- Intensified targeted actions (flagship initiatives, joint calls, etc.)





Open Science across the programme

Open Science

Better dissemination and exploitation of R&I results and support to active engagement of society

Mandatory Open Access to publications: beneficiaries shall ensure that they or the authors retain sufficient intellectual property rights to comply with open access requirements

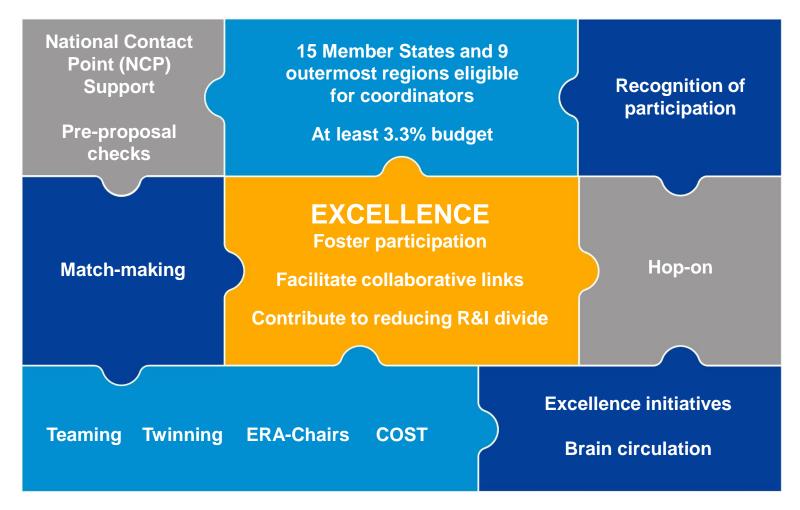
Open Access to research data ensured: in line with the principle "as open as possible, as closed as necessary"; Mandatory Data Management Plan for FAIR (Findable, Accessible, Interoperable, Re-usable) and Open Research Data

- Support to researcher skills and reward systems for open science
- Use of European Open Science Cloud





Widening participation/spreading excellence





Horizon Europe – In detail



Pillar 1

EXCELLENT SCIENCE:

reinforcing and extending the excellence of the Union's science base

European Research Council

 Frontier research by the best researchers and their teams

Commission proposal: € 16.6 billion

Marie Skłodowska-Curie Actions

 Equipping researches with new knowledge and skills through mobility and training

Commission proposal: € 6.8 billion

Research Infrastructures

 Integrated and inter-connected world-class research infrastructures

Commission proposal: € 2.4 billion

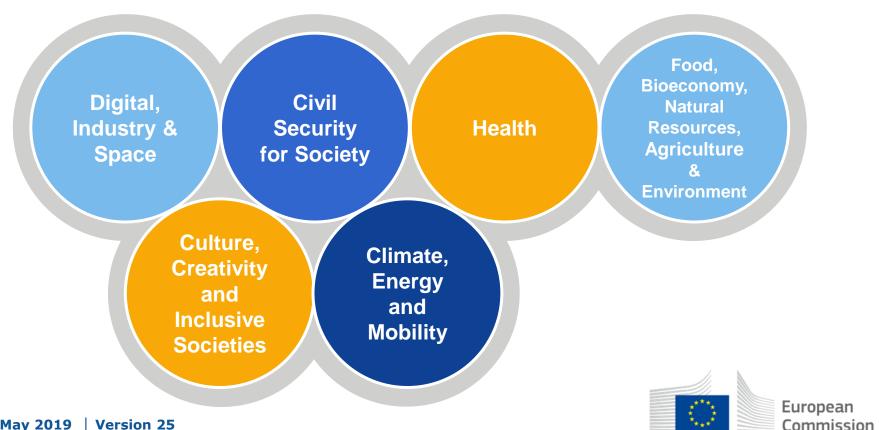


Pillar 2 - Clusters

Global Challenges & European Industrial

Competitiveness: boosting key technologies and solutions underpinning EU policies & Sustainable **Development Goals**

Commission proposal for budget: € 52.7 billion



Pillar 3

INNOVATIVE EUROPE:

stimulating market-creating breakthroughs and ecosystems conducive to innovation

European Innovation Council

 Support to innovations with breakthrough and market creating potential

European innovation ecosystems

 Connecting with regional and national innovation actors

European Institute of Innovation and Technology (EIT)

 Bringing key actors (research, education and business) together around a common goal for nurturing innovation

Commission proposal: € 10.5 billion, incl. up to € 500 million for ecosystems

Commission proposal: € 3 billion



Horizon Europe – How?



Strategic Plan for implementing Horizon Europe

The Strategic Plan (new implementing act by the Commission) will prepare the content in the work programmes and calls for proposals for the first four years

- Strategic orientation for R&I support, expected impacts
- Partnerships and missions
- Areas for international cooperation
- Issues such as:
 - Balance between research and innovation
 - Social Sciences and Humanities
 - Key Enabling Technologies and strategic value chains
 - Gender
 - Ethics and integrity
 - Dissemination and exploitation



Strategic plan gives direction to the work programme

Horizon Europe legislative package

Strategic Plan 2021-2024 Work programme 2021-2022

Calls for proposals

Main Features

Early involvement and extensive exchanges with Member States Extensive exchanges with the European Parliament Consultations with stakeholders and public at large



Steps towards the first Horizon Europe work programme

Summer 2019

Early involvement and exchanges with Member States, consultation with stakeholders and the public at large Establishment of Mission Boards

Autumnwinter 2019/2020 Co-creation at Research & Innovation Days 24 – 26 September. Extensive exchanges with the new European Parliament. Establishment of new Commission - envisaged endorsement of Strategic Plan

2020

Drafting of first Horizon Europe Work Programme on the basis of the Strategic Plan

2021

Start of Horizon Europe





Thank you!

#HorizonEU

http://ec.europa.eu/horizon-europe